



INITIATION PLAN
FOR A GEF PROJECT PREPARATION GRANT (PPG) OR
PROGRAMME COORDINATION BUDGET (PCB)

Project Title: SUSTAINABLE FUELWOOD MANAGEMENT IN NIGERIA
Country: Nigeria
Relevant UNDAF Outcome(s) and Indicator(s): *List UNDAF outcome.*
Reduced disaster and environmental vulnerability to economic and human activities, including urbanization and climate change through efficient use of natural resources, effective disaster preparedness and reformed regulatory framework aligned with Nigeria's international commitments, and enforced at Federal, State and local levels.

Relevant CP/CPAP Outcome(s) and Indicator (s):
By 2020 Nigeria is on a peaceful, secure and sustainable development path where disaster, environmental, climate and conflict risks and threats are mitigated by policies, laws and plans that are participatory, gender responsive, funded, monitored and enforced systematically at all levels of the federation with high levels of political will.

Relevant UNDP Strategic Plan Key Result Area:
Climate Change

Programme Period: **2014 - 2016**
Programme Component: **Environment**
PPG Title: **Sustainable Fuelwood Management**
ATLAS Project ID: **00091033**
ATLAS Award ID: **00081911**
PIMS Project ID: **5366**
Duration: **18 Months**

Total budget: **USD120,000.00**
Allocated resources:
• Government **na**
• Regular: **na**
• Other:
 ○ GEF (PPG) **USD120,000.00**
• In kind contributions **na**

AGREED BY UNDP NIGERIA COUNTRY DIRECTOR / UNDP DIRECTOR:

Pa Lamin Beyai
NAME

SIGNATURE

21/10/2014
DATE:

Brief Description of Initiation Plan

The Initiation Plan provides a framework for the implementation of the GEF Project Preparation Grant for the development of the Full-Size Project '**Sustainable Fuelwood Management in Nigeria**'. Fuelwood collection for domestic use has been cited as the main cause of deforestation in the country

During the initiation plan period, a number of studies and stakeholder consultations will be undertaken with the view to further develop the approved project concept into a fully formulated project document. The final output of the initiation plan will be a UNDP-GEF project document and GEF CEO Endorsement template ready for submission to UNDP and GEF.

The Initiation Plan covers preparatory activities such as studies and assessments which serve the purpose of collecting and analyzing data/information required for the preparation of the full project document based on the current Project Identification Form. These activities are described in detail in the GEF PPG approved grant document.

A. Project preparation Activities:

A. Component A: Technical review

- I. Baseline studies: this will include a desktop review of all relevant documents, actual and previous studies in energy sector.
- II. Studies to address any opportunities/risks identified during an environmental and social screening of the project proposal:
- III. Identification of specific sites for intervention and review of management arrangements
- IV. Integration with development plans, policies, budgets and complementary projects: on-going projects and other initiatives will be identified and incorporated in the project document. Activities of the same types could be implemented jointly and or in parallel as long as projects calendar will permit it.
- V. Completion of GEF focal area tracking tool: CCM Tracking Tool
- VI. Stakeholder consultations during technical review: Mobilize and engage stakeholders during project design. Negotiate partnerships with on-going projects to align their activities and the project to build synergies. Document these consultations.

B. Component B: Institutional arrangements, monitoring and evaluation

The outputs of Component A will be used as technical input to Component B for the formulation of the UNDP-GEF project document.

- I. Finalization of project results framework: Further define the results framework with appropriate objective-level and outcome-level quantitative and qualitative

SMART indicators, and end-of-project targets. Special attention will be made to include socio-economic and sex disaggregated indicators.

- II. Definition of monitoring and evaluation (M&E): A detailed M&E work plan will be developed, including clear identification of responsibilities and accountabilities, as well as an appropriate M&E budget. The plan will be based on the standard template provided in the UNDP-GEF project document template that reflects the mandatory requirements of the GEF M&E Policy.
- III. Define sustainability plan: The sustainability plan will outline the principles and guidelines for ensuring the long-term sustainability of project achievements. It will also outline an exit strategy, seeking the continuation of key activities/achievements without the need of long-term international financing.
- IV. Definition of management arrangements: The organisational structure governing the project will be decided. This will include identification of the project board.
- V. Stakeholder consultations during Component B: Involve key agencies in the development of the project strategy to ensure a strong national ownership. In close collaboration with key government representatives and other stakeholders ensure full participation in the development of the project results framework and ensure agreement on the project objectives and outcomes. Undertake consultations to secure agreement(s) on project implementation arrangements; including roles, responsibilities, and accountabilities of lead and partner agencies. Document these consultations.

C. Component C: Financial planning and co-financing investments:

- I. Prepare a detailed multi-year budget following the standard template provided in the UNDP-GEF project document template that reflects the mandatory requirements of the GEF M&E Policy.
- II. Explore multilateral and bilateral co-financing opportunities: Undertake series of consultations with partners to ensure a coherent and sustainable financing package for the project including post- GEF grant phase.
- III. Ensure completion of required official endorsement letters: An official endorsement letter will be prepared by the GEF Operational Focal Point of the Government. A co-financing guarantee will be collected from participating government institutions, bilateral development partners, multilateral development partners and NGOs who wish to provide cash or in kind contributions to the project.
- IV. Stakeholder consultations during Component C: to identify modalities of appropriation and buy-in before, during and after the implementing phases.

D. Component D: Validation workshop

A validation workshop will gather representatives from all relevant stakeholders to present discuss and validate the final draft project document.

GEF PPG/PCB approved grant document and TBWP



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Naoko Ishii, PhD
Chief Executive Officer and Chairperson

1818 H Street, NW
Washington, DC 20433 USA
Tel: 202.473.3202
Fax: 202.522.3240/3245
E-mail: Nishii@TheGEF.org
www.TheGEF.org

April 01, 2014

Ms. Adriana Dinu
GEF Executive Coordinator
United Nations Development Programme
One United Nations Plaza
304 East 45th St.
FF Bldg., 10th floor
New York, NY 10017

Dear Ms. Dinu:

I am pleased to inform you that I have cleared the project concept detailed below for inclusion in the upcoming work program. I have also approved your request for project preparation grant.

Decision Sought:	Project Identification Form (PIF) Clearance for Work Program Inclusion and Project Preparation Grant (PPG) Approval
GEFSEC ID:	5745
Agency(ies):	UNDP
Agency ID:	5366 (UNDP)
Focal Area:	Multi Focal Area
Project Type:	Full Size Project
Country(ies):	Nigeria
Name of Project:	Sustainable Fuelwood Management in Nigeria
Indicative GEF Project Grant:	\$4,410,000
Indicative Agency Fee:	\$418,950
PPG Grant:	\$120,000
PPG Agency Fee:	\$11,400
Funding Source:	GEF Trust Fund

Break-down of Indicative Agency Fee				
Agency	Trust Fund	40% Fees to be committed at Council Approval	Fees to be committed at CEO Endorsement	Total (US\$)
UNDP	GET	\$167,580	\$251,370	\$418,950

This PIF clearance and PPG approval is subject to the comments made by the GEF Secretariat in the attached project review document. It is also based on the understanding that the project is in conformity with GEF focal areas strategies and in line with GEF policies and procedures.

Ms. Adriana Dinu

- 2 -

April 01, 2014

Please submit your final project document for my endorsement no later than 18 months after Council approval of the work program.

Sincerely,



Naoko Ishii
Chief Executive Officer and Chairperson

Attachment: GEFSEC Project Review Document
Copy to: Country Operational Focal Point, GEF Agencies, STAP, Trustee

Award ID:	00081911
Award Title:	PPG: (5366) SUSTAINABLE FUELWOOD MANAGEMENT IN NIGERIA
Business Unit:	NGA10
Project Title:	PPG: Sustainable Fuelwood Management in Nigeria
Project ID:	00091033
Implementing Partner (Executing Agency)	United Nations Development Programme – Nigeria Country Office

GEF Outcome/Atlas Activity	Responsible Party/	Fund ID	Donor Name	Atlas Budgetary Account Code	ATLAS Budget Description	Amount US\$
Project preparation grant to finalize the UNDP-GEF project 'Sustainable Fuelwood Management in Nigeria'	UNDP	62000	GEF	71200	International Consultants	40,000
				71300	Local Consultants	30,000
				71600	Travel	10,000
				72500	Supplies	3,000
				74500	Miscellaneous Expenses	5,000
				75700	Trainings	32,000
PROJECT TOTAL					120,000	

Annex I: Terms of Reference
Development of UNDP-GEF Full-sized Project “Sustainable Fuelwood Management in Nigeria”

International Consultant / Sustainable Energy/GEF Project Development Expert

Type of Assignment:	Supervising and overseeing the scoping of the UNDP-GEF FSP “Sustainable Fuelwood Management in Nigeria” and development of the relevant Project Document both in UNDP and GEF formats to be submitted for Endorsement
Duration of Assignment:	50 consultancy days (August 2014 – May 2015)
Contract Type:	IC contract

BACKGROUND/OVERVIEW:

Nigeria has the third highest rate of deforestation in the world: 3.7% or 410,000 hectares of forests annually; with some areas in the South losing over 1,000 hectares/year. The country has lost almost 50% of its forest resources between 1990 and 2010 when its forest area shrank from 17 mln hectares down to 9 mln hectares. With continuation of current trends unaltered it is a matter of just a few decades when all Nigeria’s forests might be gone. Unsustainable and constantly growing consumption of fuelwood by Nigerian households is one of the main causes of deforestation. More than half of the 9.6 million hectares of rain forest belt in the south of Nigeria has been used to meet the demand for fuelwood in rural and urban areas. Fuelwood use has grown from 50 mln m³/year in 1990 up to 70 mln m³/year and accounts for significantly higher share of forest product use than, for example, commercial logging; the latter makes only 11 mln m³/year in 2010 and didn’t register any major changes in the last decades. This increase is largely due to population growth, but also due to the absence of affordable alternatives, especially for the poorest consumers. On the contrary, due to rising prices for fossil fuels, a massive shift from “modern” fuels like kerosene and LPG back to fuel wood has been taking place (“reverse substitution with wood fuel”, according to the FAO). Deforestation is the largest source of GHG emissions in Nigeria: it is responsible for 40% of national CO₂ emissions. According to National Communication to UNFCCC, under baseline scenario emissions from deforestation will increase from 9.5 MtC/year in 1990 up to 26.5 MtC/year in 2030 (based on a conservative deforestation rate of only 2.6%).

To address acute deforestation crisis in Nigeria, UNDP has initiated a GEF-funded project aimed at promoting sustainable fuel wood management in Nigeria, with a specific geographic focus on the Cross River State. Its objective is to promote sustainable fuel wood production and consumption to secure the flow of multiple environmental benefits, including carbon storage and sequestration, and ensure that basic human development needs are met without compromising eco-system ability to provide global environmental services. The project consists of several components, namely:

- **Component 1: Sustainable Fuelwood Supply.** This component is aimed at building capacity for and demonstrating a model for sustainable fuelwood production and forest management in three pilot project sites. It will entail implementation of a sustainable

- Review and finalize TORs for a team of local consultants to be hired under the PPG
- Review baseline information and data collected and delivered by the local experts;
- Assess and summarize fuelwood consumption patterns based on the information collected by local experts;
- Assess GHG emissions from fuelwood consumption under baseline scenario. Local experts's inputs will be required on assessing current GHG emission levels in co-ordination with relevant national partners
- Identify primary root causes of unsustainable fuelwood use and barriers sustainable fuelwood management
- Identify and summarize relevant project and programs of the Government and development partners which seeks to address root causes of deforestation and barriers to unsustainable fuelwood management under BAU
- Draft the situation/baseline analysis

Activity 2 – Institutional capacity assessment, regulatory and legal context for sustainable fuelwood management (SFM) in Nigeria

- Analyze information on existing policies, legal and regulatory and institutional framework for fuelwood management collected by local experts;
- Prepare a gap analysis of the policy and legal framework and institutional capacities of involved stakeholders at national and state level;
- Provide expertise on the international best practices and lessons learnt in the design and implementation of SFM policies and programmes
- Provide (international) expertise regarding adequate policy frameworks, legislation and standards

Activity 3 – Stakeholder Engagement and Ownership/Endorsement

- Oversee and guide stakeholder analysis among national and state-level government agencies, industrial and private sector, civil society and academic institutions to be made by local experts;
- Identify stakeholders' interests and current and potential roles in energy efficiency, renewable energy and energy management activities;
- Assess opportunities for public-private partnerships;
- Propose capacity building and awareness raising measures;
- Facilitate stakeholder consultations to ensure local and national ownership of the proposed project;
- Develop stakeholders' involvement strategy
- Discuss and agree all recommendations and implementation plan with project partners and stakeholders.
- The international expert will be supported by the national expert to facilitate stakeholder consultations and communication throughout the FSP development, in addition to co-ordination and support provided by UNDP CO.

logframe	
Deliverable 2: Draft Request for CEO Approval and UNDP/GEF FSP Project Document	End of December 2013
Deliverable 3: Final Request for CEO Approval and UNDP/GEF FSP Project Document	May 2015

Detailed list of deliverables and milestones will be agreed upon during PPG inception workshop in consultation with UNDP. The reports shall be submitted in English language.

REPORTING:

The International Consultant must ensure timely preparation and submission of the Request for CEO Endorsement/Approval and comprehensive Project Document for UNDP-GEF FSP “*Sustainable Fuelwood Management Project in Nigeria*”. All reports must be submitted in English to the UNDP CO in Nigeria and made in accordance with GEF-UNDP’s templates and requirements to reporting (available at www.thegef.org).

TRAVEL:

In the course of the assignment, the consultant will be expected to undertake 3 missions to Nigeria. Cumulatively missions will tentatively last for up to 15 days (each mission-one week). The dates for this mission will be agreed upon between the consultant and UNDP-Nigeria.

REQUIRED SKILLS, EXPERIENCE AND TECHNICAL CAPABILITY

- At least ten (10) years of working experience in the field of sustainable energy
- Experience with preparation and supporting implementation of GEF-funded climate change mitigation projects;
- Academic background in energy/environment or related field;
- Practical experience in financial and economic analysis and incremental cost analysis in accordance with requirements and based on criteria applied by the GEF;
- Proficiency in English, excellent analytical and drafting skills;
- Excellent interpersonal and cross-cultural communication skills;
- Previous experience of working in African countries, specifically in Nigeria, for climate change mitigation and/or sustainable energy projects will be an asset.